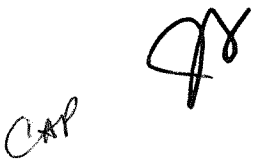


DATE: July 2, 2013

## REQUEST FOR BOARD ACTION

<b>AGENDA SECTION</b>	Finance Committee	<b>ORIGINATING DEPARTMENT</b>	Finance
<b>ITEM</b>	Annual Budget and Appropriation Ordinance for the Fiscal Year Commencing May 1, 2013 and Ending April 30, 2014  Ordinance No. O-5-13	<b>APPROVAL</b>	
Account No.: N/A			
<p>Ordinance No. O-5-13 would approve and adopt the Combined Annual Budget and Appropriation Ordinance for the Fiscal Year Commencing May 1, 2013 and Ending April 30, 2014 as required by the Commission's By-Laws and the Illinois Municipal Budget Law (50 ILCS 330/1 <i>et seq.</i>).</p> <p>The ordinance is based on the fiscal year 2013-14 Management Budget plus a factor designed to allow the Board of Commissioners the ability to expend all funds available to it during the fiscal year even though the Management Budget does not contemplate so doing. Personnel costs have been appropriated at 105% of budget. All other operating expenditures and all construction expenditures were appropriated at 135% of budget with the exception of cost of water purchases and depreciation expense, which were appropriated at 125%. The fiscal year 2013-14 Management Budget still provides the criteria for management analysis.</p> <p>Additional amounts not contemplated during the budget process or not appropriately included in a budget, in addition to appropriations modified after the draft was made available for public inspection on June 13, 2013, are as follows:</p> <ul style="list-style-type: none"><li>• Debt principal payments are not included in the Management Budget as they are accounting liability payments and are not considered expenses. They are included in the appropriation ordinance as legal outlays in the amount expected to be paid during FY 2013-14.</li></ul>			
<b>MOTION:</b> To adopt Ordinance No. O-5-13.			

DuPAGE WATER COMMISSION

ORDINANCE NO. O-5-13

ANNUAL BUDGET AND APPROPRIATION ORDINANCE FOR THE  
FISCAL YEAR COMMENCING MAY 1, 2013 AND ENDING APRIL 30, 2014

BE IT ORDAINED by the Board of Commissioners of the DuPage Water Commission, Counties of DuPage, Cook and Will, Illinois, as follows:

SECTION ONE: That the following sums are authorized by law and the same are hereby appropriated for the Water Fund, the Revenue Bond Construction Fund, the Corporate Fund and the Arbitrage Rebate Fund of the DuPage Water Commission, for the objects and purposes hereinafter specified during the fiscal year commencing May 1, 2013 and ending April 30, 2014 and that the sums of money hereinafter set forth are deemed necessary to defray all necessary expenses and liabilities of the DuPage Water Commission for said period:

Ordinance No. O-5-13  
 DU PAGE WATER COMMISSION  
 BUDGET AND APPROPRIATION ORDINANCE  
 MAY 1, 2013 TO APRIL 30, 2014

ACCT #	ACCOUNT TITLE	BUDGET/ APPROPRIATION AMOUNT
<b>WATER FUND RESOURCES</b>		
01-5000	OPERATIONS & MAINTENANCE PAYMENTS	94,185,521
01-5110	FIXED COST PAYMENTS	7,144,729
01-5120	SUBSEQUENT CUSTOMER RATE DIFFERENTIAL	903,400
01-5130	EMERGENCY WATER SERVICE	15,970
01-5300	SALES TAXES	32,299,519
01-5810	INTEREST INCOME FROM INVESTMENTS	252,000
01-5900	OTHER INCOME	298,616
	<b>TOTAL WATER FUND REVENUES</b>	<b>135,099,755</b>
<b>WATER FUND EXPENDITURES</b>		
01-2340	REVENUE BOND PRINCIPAL PAYMENTS	16,381,250
01-2310	DEBT CERTIFICATE PRINCIPAL PAYMENTS	25,000,000
01-2322	CAPITAL LEASE PRINCIPAL PAYMENTS	8,300
01-60-6110	SALARIES OF COMMISSION PERSONNEL	2,869,665
01-60-6120	PERSONNEL - PENSION, INSURANCE AND TAXES	1,098,623
01-60-6130	PERSONNEL - TRAINING, DEVELOPMENT AND TRAVEL	76,650
01-60-6190	OTHER PERSONNEL EXPENSES	31,815
01-60-6210	WATER CONSERVATION	27,000
01-60-6230	COST OF INVESTMENT FEES AND BANK CHARGES	82,350
01-60-6250	LEGAL SERVICES AND NOTICES	402,300
01-60-6260	AUDIT SERVICES	60,750
01-60-6280	CONSULTING SERVICES	189,000
01-60-6290	CONTRACTUAL SERVICES	485,055
01-60-6400	INSURANCE COVERAGES	839,025
01-60-6512	GENERATOR DIESEL FUEL	212,625
01-60-6513	GAS UTILITY EXPENSES	54,000
01-60-6514	COMMUNICATION SYSTEMS	113,184
01-60-6520	OFFICE SUPPLIES AND PUBLICATIONS	45,283
01-60-6531	PRINTING AND POSTAGE EXPENSES	31,253
01-60-6540	PROFESSIONAL DUES	22,586
01-60-6550	COST OF REPAIRS AND MAINT. OF OFFICE EQUIPMENT	17,982
01-60-6560	REPAIRS AND MAINTENANCE OF BUILDINGS	283,959
01-60-6580	COMPUTER SOFTWARE	47,520
01-60-6590	SOFTWARE MAINTENANCE	116,701

01-60-6591	OTHER ADMINISTRATIVE EXPENSES	20,115
01-60-6611	COST OF WATER PURCHASES	107,898,849
01-60-6612	ELECTRIC UTILITY EXPENSES	3,397,950
01-60-6613	PURCHASE OF WATER CHEMICALS	34,020
01-60-6614	COST OF WATER TESTING	27,540
01-60-6620	PUMP STATION OPERATIONS	772,875
01-60-6630	COST OF REPAIRS AND MAINTENANCE OF PIPELINES	1,078,346
01-60-6640	COST OF REPAIRS AND MAINT. OF VEHICLES & EQUIPMENT	153,360
01-60-6722	REVENUE BOND INTEREST PAYMENTS	1,379,700
01-60-6723	NOTE INTEREST - CERTIFICATES OF DEBT	1,282,500
01-60-6724	CAPITAL LEASE INTEREST PAYMENTS	2,835
01-60-6800	LAND AND RIGHT-OF-WAY ACQUISITION COSTS	32,738
01-60-6850	COST OF FURNITURE & EQUIPMENT PURCHASES	36,045
01-60-6860	COST OF MOTOR VEHICLES PURCHASES	54,000
01-60-6900	DEPRECIATION EXPENSES	9,945,000
01-60-7110	CONSTRUCTION OF WATER METERING STATIONS	402,828
01-60-7700	COMMISSION CAPITAL PROJECTS	6,608,250
01-60-7980	COST OF CAPITALIZED FIXED ASSETS	0
01-60-7990	CONTINGENCY	11,573,674
		<hr/>
	TOTAL WATER FUND EXPENDITURES	193,197,501
		<hr/>
	WATER FUND REVENUE OVER (UNDER) EXPENDITURES	(58,097,746)
	AVAILABLE WATER FUND BALANCES 05/01/2013	58,097,746
	AVAILABLE WATER FUND BALANCES 04/30/2014	0
		<hr/> <hr/>
	AVAILABLE WATER FUND BALANCES	05/01/13
	Cash and Cash Equivalents	20,351,459
	Investments	44,817,142
	Accounts Payable	(7,070,854)
	TOTAL AVAILABLE WATER FUND BALANCES	58,097,746
		<hr/> <hr/>

Ordinance No. O-5-13

SECTION TWO: This Ordinance shall be in full force and effect from and after its adoption.

SECTION THREE: This Ordinance shall be available for public inspection at the office of the DuPage Water Commission.

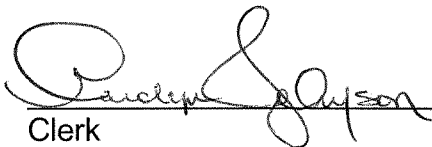
AYES: L. Crawford, R. Furstenau, C. Janc, W. Murphy, J. Pruyn,  
D. Russo, F. Saverino, M. Scheck, P. Suess, and J. Zay

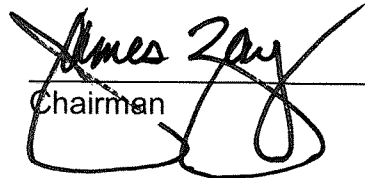
NAYS: None

ABSENT: J. Broda, D. Loftus, and J.B. Webb

ADOPTED this 18<sup>th</sup> day of July, 2013

ATTEST:

  
Clerk

  
Chairman

STATE OF ILLINOIS )  
 ) SS  
COUNTY OF COOK )

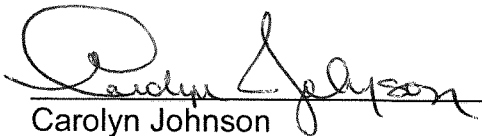
CERTIFICATION

I, the undersigned, do hereby certify that I am the duly qualified Clerk of the DuPage Water Commission, Counties of DuPage, Cook, and Will, Illinois, and as such Clerk, I am the keeper of the official journal of proceedings, books, records, minutes, and files of the DuPage Water Commission and of the Board of Commissioners thereof.

I do further certify that the attached is a full, true and complete copy of Ordinance No. O-5-13: Annual Budget and Appropriation Ordinance for the Fiscal Year Commencing May 1, 2013 and Ending April 30, 2014.

IN WITNESS WHEREOF, I have hereunto affixed my official signature and the corporate seal of the DuPage Water Commission this 18<sup>th</sup> day of July, 2013.

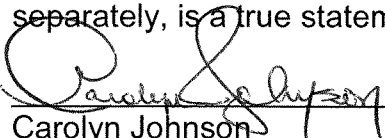
(SEAL)


  
\_\_\_\_\_  
Carolyn Johnson  
Clerk

CERTIFICATION OF BUDGET/APPROPRIATION IN  
ACCORDANCE WITH CHAPTER 35 SECTION  
200/18-50 ILLINOIS COMPILED STATUES

The undersigned, being Clerk and Treasurer of the Taxing District below named, do hereby certify that attached hereto is a true and correct copy of the Budget/Appropriation of said District for its 2013/2014 fiscal year, adopted July 18, 2013.

We further certify that the estimate of revenues, by source, anticipated to be received by said Taxing District, either set forth in said document or attached hereto separately, is a true statement of said estimate.

  
\_\_\_\_\_  
Carolyn Johnson  
Clerk  
DuPage Water Commission

  
\_\_\_\_\_  
Douglas R. Ellsworth  
Treasurer  
DuPage Water Commission